

10/553183

JC09 Rec'd PCT/PTO 13 OCT 2009

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:	Patent Application of Matthias Fies et al.	: Group Art Unit: :
I.A. Number :	PCT/EP2004/003784	: Examiner: Unknown :
I.A. Filing Date:	April 8, 2004	: Confirmation No.: :
Priority Date :	April 17, 2003	:
For:	DIMER DIOL (METH)ACRYLATES USED AS A MATTING AGENT	: Attorney Docket : No.: C 2684 PCT/US
Customer No.:	23657	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure Statement ("IDS") in the above-referenced application, together with an IDC form listing all references cited and a copy of each reference (unless the reference is a U.S. patent application).

This IDS is being mailed within three months of filing of the above-captioned application, if it is a national application, or within three months of entering, as set forth in 37 C.F.R. § 1.491, the national stage of the above-captioned application, if the above-captioned application is an international application. Therefore, consideration of the IDS by the Patent and Trademark Office, without the payment of any additional fee, is believed to be due under 37 C.F.R. § 1.97(b).

Some of the references are neither in English nor cited in any previously submitted accompanying English language version of a search report by another patent

Docket No. : C 2684 PCT/US

office, and therefore require a concise explanation of relevancy, as it is currently understood by the applicant, pursuant to 37 C.F.R. § 1.98(a)(3). This required explanation of relevancy is given in the specification of the above-captioned application for the following references:

Reference Identification

Described in Specification at:

Hinze et al., "Die Herstellung von Dimerfettsaeuren aus ungesaettigen Fettsaeuren", Fette & Öle, vol.26 (1994), pgs 47-51.

Page 4, lines 18-21

Dautz et al., "Hydrolysestabile fettchemische Polyole fuer Polyurethananwendungen", Fat Sci. Technol. vol. 95 (1993), pgs 91-94

Page 4, lines 21-24

Respectfully submitted,



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Enclosure

1. International Search Report (English Language)
2. IDC w/references

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			Complete if Known		
			Application Number		
			Filing Date		
			First Named Inventor	Matthias Fies	
			Art Unit		
			Examiner Name		
Sheet	1	of	1	Attorney Docket Number	C 2684 PCT/US

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)	MM-DD-YYYY		
		US-5,663 233	09-02-1997	Bederke et al.	
		US-2001/031369	10-18=2001	Gerhard Reusmann	
		US-6,686,412	02-03-2004	Berschel et al.	
		US-6,331,582	12-18-2001	Chen et al.	
		US-5,316,843	05-31-1994	Kiryu et al.	
		US-4,927,710	05-22-1990	Tanaka et al.	
		US-			
		US-			

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code/Number-Kind Code ² (if known)	MM-DD-YYYY		T ⁶
		JP 10 218946 (abstract)	08-18-1998	Toa Gosei Chem Ind. Ltd	
		WO 99/23175 (abstract) (equiv. to CA 2307565)	05-14-1999	Henkel KGaA	X
		CA 2 307 565	05-14-1999	Cognis Deutschland GmbH	
		DE 199 17 228 (abstract) (equiv. to US 6,686,412)	10-19-2000	Herberts GmbH & Co. KG	
		WO 01/04217	01-18-2001	Grace GmbH & Co. KG	

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T ²
		Hinze et al., "Die Herstellung von Dimerfettsaeuren aus ungesaettigten ", Fette & Ole, vol.26 (1994), pgs 47-51.				
		L.S. Newton, "Dimer Acids and their Derivatives - Potential Applications", Speciality Chemicals, 1984, pgs 17, 18, 22-24				
		Daute et al., "Hydrolysestabile fettchemische Polyole fuer Polyurethan anwendungen", Fat Sci. Technol. vol. 95 (1993), pgs 91-94				

Examiner Signature		Date Considered
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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